

<date will be filled in when doc is finalized>

Waikato District Council  
Private Bag 544  
Ngaruawahia 3742

Private Bag 3038  
Waikato Mail Centre  
Hamilton 3240, NZ

waikatoregion.govt.nz  
0800 800 401

Dear Keith

### Annual Audit - Ngaruawahia WWTP: Old Taupiri Rd, Hopuhopu

Please find enclosed a report which assesses Waikato District Councils compliance with selected conditions of the authorised activities for which monitoring has been undertaken. This assessment relates to the period from **1 July 2020 to 30 June 2021**.

Based on this assessment the following level of compliance has been achieved:

Authorisation	Activity Authorised	Compliance Status
AUTH119642.01.02	Discharge up to 11,200 cubic metres per day of treated wastewater from the Ngaruawahia WWTP into the Waikato River	Moderate Non-Compliance
AUTH119643.01.01	Discharge contaminants to air from activities associated with the Ngaruawahia WWTP	Low Risk Non-Compliance
AUTH119644.01.01	Discharge treated municipal wastewater sludge onto land during pond de-sludging from activities associated with the Ngaruawahia WWTP	Full Compliance
AUTH119645.01.01	Use, upgrade and maintain an outflow pipeline structure including an outflow diffuser on the bed of the Waikato River for the discharge of treated wastewater from the Ngaruawahia WWTP	Low Risk Non-Compliance
AUTH119646.01.01	Discharge treated wastewater (via seepage) to land and groundwater from activities associated with the Ngaruawahia WWTP	Full Compliance
AUTH124828.01.01	To discharge biosolids to land	Not assessed

**Overall Site Compliance: Moderate Non-Compliance**

### ACTIONS REQUIRED

The following actions are required of Waikato District Council:

Authorisation	Condition	Action Required
AUTH119642.01.02	10	The consent holder shall design, build and commission the appropriate upgrade to the treatment process within two years after the "trigger" level is

		reached which was 30 June 2021. That date for an upgrade is 1 July 2023 and a plan for the upgrade to the plant should be submitted to WRC as soon as possible.
AUTH119642.01.02	11	The consent holder is required to design, build and commission the appropriate upgrade to the treatment process within two years after the "trigger" level is reached. The trigger level was reached by 30 June 2021. therefore the date for the upgrade to be commissioned by 1 July 2023.
AUTH119642.01.02	20	Please review and update the O&M plan to ensure the plan is relevant and matches the current site layout and processes that exist on site. Don't forget to update the contact details.
AUTH119642.01.02	24	Please ensure that WDC/WSL places and maintains a sign in the vicinity of the discharge to the Waikato River, in such a way that it is conspicuous to river users, advertising of the presence of the wastewater discharge and warning against the use of the location for swimming
AUTH119642.01.02	36	Report due by 31 March 2022
AUTH119643.01.01	6	Please review the O&M plan and ensure that requirements in the conditions are covered in the plan.
AUTH119645.01.01	5	Please ensure dive company provides the relevant information as per the consent condition requirements
AUTH119645.01.01	6	Ensure that 4 ports of the diffuser are operational as a minimum, install a warning sign on the bank that is visible to river users.

Waikato District Council has attained an overall compliance status of Moderate non-compliance and this level of compliance is unsatisfactory. As a result of this compliance status an enforcement action is deemed necessary in line with the infrastructure teams compliance strategy. In this instance Waikato Regional Council will be issuing Waikato District Council a formal warning for the non-compliant performance for the period of July 2020 to June 2021 and a Letter of Direction which will require a detailed plan and time frame as to how Waikato District Council will improve compliance. The formal warning and LOD will be sent separately.

Please do not hesitate to call me if you have any questions regarding this monitoring assessment. You can contact me at Waikato Regional Council's Hamilton office on 07 859 0734, or alternatively you can email [edward.prince@waikatoregion.govt.nz](mailto:edward.prince@waikatoregion.govt.nz).

Yours faithfully



Edward Prince  
**Senior Resource Officer - Infrastructure  
Resource Use**